



New York Life Tax Certification Form

Instructions – This substitute W-9 form can be used in lieu of an IRS Form W-9 but may only be used for US Individual Owners on Life and Annuity Contracts. All other owner types (e.g. US Entities or Foreign Individuals or Foreign Entities) must use the appropriate actual full IRS form. The choice of forms are: W-9 (US Entity) or W-8-BEN (Foreign Individuals) or W-8BEN-E (Foreign Entity) or W-8ECI or W-8IMY or W-8EXP.

Owner's Information: (All fields are required)

Owner's Name: _____

DOB: _____ Social Security #/Tax ID#: _____

Address: _____ City: _____ State: _____ Zip Code: _____

Tax Certification:

Under penalties of perjury, I as the Owner certify that: (1) my Social Security Number or Tax ID Number shown above is my correct taxpayer identification number, (2) I am not subject to backup withholding because: (a) I am exempt from backup withholding; or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of a failure to report all interest or dividend income; or (c) the IRS has notified me that I am no longer subject to backup withholding, (3) I am a U.S. person (including a U.S. resident alien), and (4) I am exempt from Foreign Account Tax Compliance Act (FATCA) reporting.

Check this box if the Owner has been notified by the IRS advising that they are subject to backup withholding.

Signatures:

By signing below, I understand that I acknowledge and agree to all of the certifications, statements and representations made on this form, in accordance with their respective terms.

Owner's Signature: _____ Date: _____