Ameritas 2021 Vertafore Integration

Life Insurance

Onboarding: Life Insurance Professionals

- Automated Background Checks
- Appointments & Appointment Terminations

Disability

Onboarding:

- Automated Background Checks
- Appointments & Appointment Terminations

AIC/AAS

Onboarding: Recruits of Financial Professionals

- Automated Background Checks
- FINRA Licensing and U4 Filings
- RIA, SEC Filings
- State RIA/BD Registration Filings
- Completion of CRD Online, Outside Business Activity

Current Financial Professionals

- FINRA U4 Changes, Terminations & Renewals
- RIA, SEC Filings
- Outside Business Activity Questionnaire
- Private Securities Questionnaire
- Gifts and Gratuities Questionnaire

Independent Distribution Channel

Onboarding:

- Automated Background Checks
- Appointments & Appointment Terminations

Ameritas
fulfilling life.

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